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| FORM PTO-1 | Atty. Docket No.: C382.12-0146 | Appl. No.: 10/783,682 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | First Named Inventor: Harvey A. Restaino et al. | |
| | Filing Date February 20, 2004 | Group Art: 2838 |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
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| SB | AA | 5,357,519 | 10/1994 | Martin et al. | 371 | 15.1 |
| | AB | 6,016,047 | 1/2000 | Notten et al. | 320 | 137 |
| | AC | 6,449,726 | 9/2002 | Smith | 713 | 340 |
| | AD | 6,215,275 | 4/2001 | Bean | 320 | 106 |
| | AE | 6,100,670 | 8/2000 | Levesque | 320 | 150 |
| | AF | 6,271,643 | 8/2001 | Becker et al. | 320 | 112 |
| | AG | | | | | |
| | AH | | | | | |
| | AI | | | | | |
| | AJ | | | | | |

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|----|--------------|----------------|------------|----------------|-----------|-----------------------|
| SP | AK | GB 2 387 235 A | 08.10.2003 | United Kingdom | | |
| SP | AL | WO 00/16083 | 23.03.00 | WIPO | | |
| SP | AM | WO 01/16614 | 08.03.2001 | WIPO | | |
| SP | AN | WO 01/16615 | 08.03.2001 | WIPO | | |
| | AO | | | | | |

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| | | |
|----|----|---|
| SB | AP | Search Report from Application No. GB0421447.4 |
| SB | AQ | Examination Report from Application No. GB0417678.0 |
| SB | AR | Champlin et al., K. S., "Results of Discrete Frequency Immittance Spectroscopy (DFIS) Measurements of Lead Acid Batteries", INTELEC 2001, October 2001. |

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FORM PTO-1449

Atty. Docket No.:
C382.12-0146Serial No.:
10/783,682

Applicant:

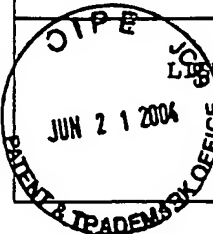
Harvey A. Restaino et al.

Filing Date

February 20, 2004

Group Art:

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| SB | AA | 2,514,745 | 7/11/50 | Dalzell | 171 | 95 |
| | AB | 3,593,099 | 7/13/71 | Scholl | 320 | 13 |
| | AC | 3,607,673 | 9/21/71 | Seyl | 204 | 1 |
| | AD | 3,676,770 | 7/11/72 | Sharaf et al. | 324 | 29.5 |
| | AE | 3,729,989 | 5/1/73 | Little | 73 | 133 |
| | AF | 3,753,094 | 8/14/73 | Furuishi et al. | 324 | 29.5 |
| | AG | 3,808,522 | 4/30/74 | Sharaf | 324 | 29.5 |
| | AH | 3,811,089 | 5/14/74 | Strezelewicz | 324 | 170 |
| | AI | 3,873,911 | 3/25/75 | Champlin | 324 | 29.5 |
| | AJ | 3,886,443 | 5/27/75 | Miyakawa et al. | 324 | 29.5 |
| | AK | 3,889,248 | 6/10/75 | Ritter | 340 | 249 |
| | AL | 3,906,329 | 9/16/75 | Bader | 320 | 44 |

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| SB | AM | 59-17892 | 1/30/84 | Japan | | Abstract Only |
| SB | AN | 59-17893 | 1/30/84 | Japan | | Abstract Only |
| SB | AO | 59-17894 | 1/30/84 | Japan | | Abstract Only |

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| SB | AP | "Electrochemical Impedance Spectroscopy in Battery Development and Testing", Batteries International, April 1997, pgs. 59 and 62-63. | | | | |
| SB | AQ | "Battery Impedance", by E. Willihnganz et al., Electrical Engineering, September 1959, pgs. 922-925. | | | | |
| SB | AR | "Determining The End of Battery Life", by S. DeBardelaben, IEEE, 1986, pgs. 365-368. | | | | |
| SB | AS | "A Look at the Impedance of a Cell", by S. DeBardelaben, IEEE, 1988, pgs. 394-397. | | | | |

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|------------------|--------------|----------|---------------------|-------|-----------|----------------------------|
| SB | AT 3,909,708 | 9/30/75 | Champlin | 324 | 29.5 | |
| SB | AU 3,936,744 | 2/3/76 | Perlmutter | 324 | 158 | |
| SB | AV 3,946,299 | 3/23/76 | Christianson et al. | 320 | 43 | |
| SB | AW 3,947,757 | 3/30/76 | Grube et al. | 324 | 28 | |
| SB | AX 3,969,667 | 7/13/76 | McWilliams | 324 | 29.5 | |
| SB | AY 3,979,664 | 9/7/76 | Harris | 324 | 17 | |
| SB | AZ 3,984,762 | 10/5/76 | Dowgiallo, Jr. | 324 | 29.5 | |
| SB | BA 3,984,768 | 10/5/76 | Staples | 324 | 62 | |
| SB | BB 4,008,619 | 2/22/77 | Alcaide et al. | 73 | 398 | |
| SB | BC 4,053,824 | 10/11/77 | Dupuis et al. | 324 | 29.5 | |
| SB | BD 4,070,624 | 1/24/78 | Taylor | 327 | 158 | |
| SB | BE 4,086,531 | 4/25/78 | Bernier | 324 | 158 | |

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| SB | BF | 60225078 | 11/9/85 | Japan | | | Abstract Only |
| SB | BG | 04372536 | 12/25/92 | Japan | | | Abstract Only |
| SB | BH | 04131779 | 5/6/92 | Japan | | | Abstract Only |

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| SB | BI | "The Impedance of Electrical Storage Cells", by N.A. Hampson et al., <u>Journal of Applied Electrochemistry</u> , 1980, pgs. 3-11. |
| SB | BJ | "A Package for Impedance/Admittance Data Analysis", by B. Boukamp, <u>Solid State Ionics</u> , 1986, pgs. 136-140. |
| SB | BK | "Precision of Impedance Spectroscopy Estimates of Bulk, Reaction Rate, and Diffusion Parameters", by J. Macdonald et al., <u>J. Electroanal. Chem.</u> , 1991, pgs. 1-11. |

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| SB | BL | 4,112,351 | 9/5/78 | Back et al. | 324 | 16 |
| | BM | 4,114,083 | 9/12/78 | Benham et al. | 320 | 39 |
| | BN | 4,126,874 | 11/21/78 | Suzuki et al. | 354 | 60 |
| | BO | 4,178,546 | 12/11/79 | Hulls et al. | 324 | 158 |
| | BP | 4,193,025 | 3/11/80 | Frailing et al. | 324 | 427 |
| | BQ | 4,207,611 | 6/10/80 | Gordon | 364 | 580 |
| | BR | 4,315,204 | 2/9/82 | Sievers et al. | 322 | 28 |
| | BS | 4,316,185 | 2/16/82 | Watrous et al. | 340 | 636 |
| | BT | 4,322,685 | 3/30/82 | Frailing et al. | 324 | 429 |
| | BU | 4,363,407 | 12/14/82 | Barkler et al. | 209 | 3.3 |
| | BV | 4,369,407 | 1/18/83 | Korbell | 324 | 416 |
| SB | BW | 4,412,169 | 10/25/83 | Dell'Orto | 320 | 64 |

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| SB | BX | 03282276 | 12/12/91 | Japan | | | Abstract Only |
| | BY | 03274479 | 12/5/91 | Japan | | | Abstract Only |
| | BZ | 5216550 | 8/27/93 | Japan | | | Abstract Only |
| | CA | 59017894 | 1/30/84 | Japan | | | Abstract Only |

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| SB | CB | "Internal Resistance: Harbinger of Capacity Loss in Starved Electrolyte Sealed Lead Acid Batteries, by Vaccaro, F.J. et al., <u>AT&T Bell Laboratories</u> , 1987 IEEE, Ch. 2477, pp. 128,131. |
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| SB | CC | 4,390,828 | 6/28/83 | Converse et al. | 320 | 32 |
| | CD | 4,392,101 | 7/5/83 | Saar et al. | 320 | 20 |
| | CE | 4,396,880 | 8/2/83 | Windebank | 320 | 21 |
| | CF | 4,408,157 | 10/4/83 | Beaubien | 324 | 62 |
| | CG | 4,423,378 | 12/27/83 | Marino et al. | 324 | 427 |
| | CH | 4,423,379 | 12/27/83 | Jacobs et al. | 324 | 429 |
| | CI | 4,424,491 | 1/3/84 | Bobbett et al. | 324 | 433 |
| | CJ | 4,459,548 | 7/10/84 | Lentz et al. | 324 | 158 |
| | CK | 4,514,694 | 4/30/85 | Finger | 324 | 429 |
| | CL | 4,520,353 | 5/28/85 | McAuliffe | 340 | 636 |
| | CM | 4,667,279 | 5/19/87 | Maier | 363 | 46 |
| | CN | 4,678,998 | 7/7/87 | Muramatsu | 324 | 427 |
| | CO | 4,679,000 | 7/7/87 | Clark | 324 | 428 |
| | CP | 4,697,134 | 9/29/87 | Burkum et al. | 320 | 48 |

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| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|--------------|-----------------|---------|---------------|-----------|--------------------|
| SB | CQ | EP 0 772 056 A1 | 5/7/97 | EPO | | X |
| SB | CR | EP 0 637 754 A1 | 2/8/95 | EPO | | X |
| SB | CS | 2 088 159 A | 6/3/82 | Great Britain | | X |

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| | | |
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| SB | CT | IEEE Recommended Practice For Maintenance, Testings, and Replacement of Large Lead Storage Batteries for Generating Stations and Substations, <u>The Institute of Electrical and Electronics Engineers, Inc., ANSI/IEEE Std. 450-1987, 3/9/1987, pp. 7-15.</u> |
| SB | CU | "Field and Laboratory Studies to Assess the State of Health of Valve-Regulated Lead Acid Batteries: Part I Conductance/Capacity Correlation Studies", by D. Feder et al., <u>IEEE</u> , August 1992, pgs. 218-233. |

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| SB | CV | 4,707,795 | 11/17/87 | Alber et al. | 364 | 550 |
| | CW | 4,709,202 | 11/24/87 | Koenck et al. | 320 | 43 |
| | CX | 4,710,861 | 12/1/87 | Kanner | 363 | 46 |
| | CY | 4,719,428 | 1/12/88 | Liebermann | 324 | 436 |
| | CZ | 4,743,855 | 5/10/88 | Randin et al. | 324 | 430 |
| | DA | 4,816,768 | 3/28/89 | Champlin | 324 | 428 |
| | DB | 4,820,966 | 4/11/89 | Fridman | 320 | 32 |
| | DC | 4,825,170 | 4/25/89 | Champlin | 324 | 436 |
| | DD | 4,849,700 | 7/18/89 | Morioka et al. | 324 | 427 |
| | DE | 4,881,038 | 11/14/89 | Champlin | 324 | 426 |
| | DF | 4,912,416 | 3/27/90 | Champlin | 324 | 430 |
| | DG | 4,929,931 | 5/29/90 | McCuen | 340 | 636 |
| | DH | 4,931,738 | 6/5/90 | MacIntyre et al. | 324 | 435 |
| | DI | 4,947,124 | 8/7/90 | Hauser | 324 | 430 |
| | DJ | 4,956,597 | 9/11/90 | Heavey et al. | 320 | 14 |
| SA | DK | 4,968,941 | 11/6/90 | Rogers | 324 | 428 |

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| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|--------------|-------------|----------|-------|-----------|--------------------|
| SB | DL | WO 93/22666 | 11/11/93 | WIPO | | X |
| SB | DM | WO 98/58270 | 12/23/98 | WIPO | | X |

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|----|----|---|--|--|--|--|
| SB | DN | "Battery Impedance", by E. Willihnganz et al., <u>Electrical Engineering</u> , September 1959, pgs. 922-925. | | | | |
| SB | DO | "JIS Japanese Industrial Standard-Lead Acid Batteries for Automobiles", <u>Japanese Standards Association UDC</u> , 621.355.2:629.113.006, Nov. 1995. | | | | |

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| SB | DP 5,004,979 | 4/2/91 | Marino et al. | 324 | 160 | |
| | DQ 5,032,825 | 7/16/91 | Xuznicki | 340 | 636 | |
| | DR 5,047,722 | 9/10/91 | Wurst et al. | 324 | 430 | |
| | DS 5,087,881 | 2/11/92 | Peacock | 324 | 378 | |
| | DT 5,126,675 | 6/30/92 | Yang | 324 | 435 | |
| | DU 5,140,269 | 8/18/92 | Champlin | 324 | 433 | |
| | DV 5,144,248 | 9/1/92 | Alexandres et al. | 324 | 428 | |
| | DW 5,170,124 | 12/8/92 | Blair et al. | 324 | 434 | |
| | DX 5,204,611 | 4/20/93 | Nor et al. | 320 | 21 | |
| | DY 5,214,370 | 5/25/93 | Harm et al. | 320 | 35 | |
| | DZ 5,214,385 | 5/25/93 | Gabriel et al. | 324 | 434 | |
| | EA 5,241,275 | 8/31/93 | Fang | 324 | 430 | |
| | EB 5,254,952 | 10/19/93 | Salley et al. | 324 | 429 | |
| | EC 5,281,919 | 1/25/94 | Palanisamy | 324 | 427 | |
| | ED 5,281,920 | 1/25/94 | Wurst | 324 | 430 | |
| | EE 5,295,078 | 3/15/94 | Stich et al. | 364 | 483 | |
| | EF 5,298,797 | 3/29/94 | Redl | 307 | 246 | |
| | EG 5,300,874 | 4/5/94 | Shimamoto et al. | 320 | 15 | |
| | EH 5,302,902 | 4/12/94 | Groehl | 324 | 434 | |

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| EI | | | | | | |

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| 8B | EK | 5,331,268 | 7/19/94 | Patino et al. | 320 | 20 |
| | EL | 5,336,993 | 8/9/94 | Thomas et al. | 324 | 158.1 |
| | EM | 5,352,968 | 10/4/94 | Reni et al. | 320 | 35 |
| | EN | 5,343,380 | 8/30/94 | Champlin | 363 | 46 |
| | EO | 5,365,160 | 11/15/94 | Leppo et al. | 320 | 22 |
| | EP | 5,365,453 | 11/15/94 | Startup et al. | 364 | 481 |
| | EQ | 5,381,096 | 1/10/95 | Hirzel | 324 | 427 |
| | ER | 5,426,371 | 6/20/95 | Salley et al. | 324 | 429 |
| | ES | 5,432,426 | 7/11/95 | Yoshida | 320 | 20 |
| | ET | 5,434,495 | 7/18/95 | Toko | 320 | 44 |
| | EU | 5,442,274 | 8/15/95 | Tamai | 320 | 23 |
| | EV | 5,457,377 | 10/10/95 | Jonsson | 320 | 5 |
| | EW | 5,449,996 | 9/12/95 | Matsumoto et al. | 320 | 20 |
| | EX | 5,449,997 | 9/12/95 | Gilmore et al. | 320 | 39 |
| | EY | 5,485,090 | 1/16/96 | Stephens | 324 | 433 |
| | EZ | 5,519,383 | 5/21/96 | De La Rosa | 340 | 636 |
| | FA | 5,528,148 | 6/18/96 | Rogers | 324 | 426 |
| | FB | 5,550,485 | 8/27/96 | Falk | 324 | 772 |
| 5 | FC | 5,561,380 | 10/1/96 | Sway-Tin et al. | 324 | 509 |

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| FD | | | | | | |

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|------------------|--------------|-----------|----------|------------------|-----------|----------------------------|
| SD | PF | 5,562,501 | 10/8/96 | Kinoshita et al. | 439 | 852 |
| | FG | 5,572,136 | 11/5/96 | Champlin | 324 | 426 |
| | PH | 5,574,355 | 11/12/96 | McShane et al. | 320 | 39 |
| | PI | 5,585,728 | 12/17/96 | Champlin | 324 | 427 |
| | FJ | 5,592,093 | 1/7/97 | Klingbiel | 324 | 426 |
| | FK | 5,596,260 | 1/21/97 | Moravec et al. | 320 | 30 |
| | FL | 5,598,098 | 1/28/97 | Champlin | 324 | 430 |
| | FM | 5,602,462 | 2/11/97 | Stich et al. | 323 | 258 |
| | FN | 5,606,242 | 2/25/97 | Hull et al. | 320 | 48 |
| | FO | 5,621,298 | 4/15/97 | Harvey | 320 | 5 |
| | FP | 5,642,031 | 6/24/97 | Brotto | 320 | 21 |
| | FQ | 5,650,937 | 7/22/97 | Bounaga | 364 | 483 |
| | FR | 5,652,501 | 7/29/97 | McClure et al. | 320 | 17 |
| | FS | 5,675,234 | 10/7/97 | Greene | 320 | 15 |
| | FT | 5,677,077 | 10/14/97 | Faulk | 429 | 90 |
| | FU | 5,699,050 | 12/16/97 | Kanazawa | 340 | 636 |
| | FV | 5,701,089 | 12/23/97 | Perkins | 327 | 772 |
| | FW | 5,717,336 | 2/10/98 | Basell et al. | 324 | 430 |
| G | FX | 5,757,192 | 5/26/98 | McShane et al. | 324 | 427 |

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| | GB | 5,789,899 | 8/4/98 | van Phuoc et al. | 320 | 30 |
| | GC | 5,793,359 | 8/11/98 | Ushikubo | 345 | 169 |
| | GD | 5,821,756 | 10/13/98 | McShane et al. | 324 | 430 |
| | GE | 5,831,435 | 11/3/98 | Troy | 324 | 426 |
| | GF | 5,872,443 | 2/16/99 | Williamson | 320 | 21 |
| | GG | 5,914,605 | 6/22/99 | Bertness | 324 | 430 |
| | GH | 5,945,829 | 8/31/99 | Bertness | 324 | 430 |
| | GI | 3,356,936 | 12/5/67 | Smith | 324 | 29.5 |
| | GJ | 5,488,300 | 1/30/96 | Jamieson | 324 | 432 |
| | GK | 6,002,238 | 12/14/99 | Champlin | 320 | 134 |
| | GL | 6,037,777 | 3/14/00 | Champlin | 324 | 430 |
| | GM | 6,051,976 | 4/18/00 | Bertness | 324 | 426 |
| | GN | 6,081,098 | 6/27/00 | Bertness et al. | 320 | 134 |
| | GO | 6,091,245 | 7/18/00 | Bertness | 324 | 426 |
| | GP | 6,104,167 | 8/15/00 | Bertness et al. | 320 | 132 |
| SB | GQ | 6,137,269 | 10/24/00 | Champlin | 320 | 150 |

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|----|----|-----------------|---------|---------|-------|-----------|--------------------|
| SB | GR | DE 29 26 716 B1 | 1/15/81 | Germany | | | X |
| SB | GS | 0 022 450 A1 | 1/21/81 | EPO | | | X |

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| SB | GT | "Performance of Dry Cells", by C. Hambuechen, Preprint of <u>Am. Electrochem. Soc.</u> , April 18-20, 1912, paper No. 19, pgs. 1-5. |
| SB | GU | "A Bridge for Measuring Storage Battery Resistance", by E. Willihncanz, <u>The Electrochemical Society</u> , preprint 79-20, April 1941, pgs. 253-258. |

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DATE CONSIDERED:

EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|---|---|---------------------------|
| FORM PTO-1449 | Atty. Docket No.: C382.12-0146 | Serial No.: 10/783,682 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Harvey A. Restaino et al. | |
| | Filing Date February 20, 2004 | Group Art: 2838 |

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| SB | GV | 3,562,634 | 2/9/71 | Latner | 31 | 04 |
| | GW | 4,379,990 | 4/12/83 | Sievers et al. | 322 | 99 |
| | GX | 5,144,218 | 9/1/92 | Bosscha | 320 | 44 |
| | GY | 5,315,287 | 5/24/94 | Sol | 340 | 455 |
| | GZ | 5,469,043 | 11/21/95 | Cherng et al. | 320 | 31 |
| | HA | 5,546,317 | 8/13/96 | Andrieu | 364 | 481 |
| | HB | 5,583,416 | 12/10/96 | Klang | 320 | 22 |
| | HC | 5,589,757 | 12/31/96 | Klang | 320 | 22 |
| | HD | 5,656,920 | 8/12/97 | Cherng et al. | 320 | 31 |
| | HE | 5,710,503 | 1/20/98 | Sideris et al. | 320 | 6 |
| | HF | 5,760,587 | 6/2/98 | Harvey | 324 | 434 |
| | HG | 5,808,469 | 9/15/98 | Kopera | 324 | 43.4 |
| | HH | 6,008,652 | 12/28/99 | Theofanopoulos et al. | 324 | 434 |
| | HI | 6,009,369 | 12/28/99 | Boisvert et al. | 701 | 99 |
| | HJ | 6,031,354 | 2/29/00 | Wiley et al. | 320 | 116 |
| | HK | 6,037,751 | 3/14/00 | Klang | 320 | 160 |
| | HL | 6,072,299 | 6/6/00 | Kurie et al. | 320 | 112 |
| | HM | 6,094,033 | 7/25/00 | Ding et al. | 320 | 132 |
| | HN | 6,163,156 | 12/19/00 | Bertness | 324 | 426 |
| | HO | 6,172,483 | 1/9/01 | Champlin | 320 | 134 |
| | HP | 6,172,505 | 1/9/01 | Bertness | 324 | 430 |

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| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|--------------|-----------|---------|--------|-----------|--------------------|
| SB | HQ | 2 749 397 | 12/5/97 | France | | X |
| SB | HR | 59215674 | 12/5/84 | Japan | | Abstract Only |

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| SB | HS | |
| EXAMINER: | DATE CONSIDERED: 12/15/05 | |

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| SB | HT | 3,989,544 | 11/2/76 | Santo | 429 | 65 |
| | HU | 4,745,349 | 5/17/88 | Palanisamy et al. | 320 | 22 |
| | HV | 4,876,495 | 10/24/89 | Palanisamy et al. | 320 | 18 |
| | HW | 4,937,528 | 6/26/90 | Palanisamy | 324 | 430 |
| | HX | 4,968,942 | 11/6/90 | Palanisamy | 324 | 430 |
| | HY | 5,179,335 | 1/12/93 | Nor | 320 | 21 |
| | HZ | 5,266,880 | 11/30/93 | Newland | 320 | 14 |
| | IA | 5,451,881 | 9/19/95 | Finger | 324 | 433 |
| | IB | 5,705,929 | 1/6/98 | Caravello et al. | 324 | 430 |
| | IC | 5,717,937 | 2/10/98 | Fritz | 395 | 750.01 |
| | ID | 5,862,515 | 1/19/99 | Kobayashi et al. | 702 | 63 |
| | IE | 5,895,440 | 4/20/99 | Proctor et al. | 702 | 63 |
| | IF | 5,929,609 | 7/27/99 | Joy et al. | 322 | 25 |
| | IG | 6,072,300 | 6/6/00 | Tsuji | 320 | 116 |
| | IH | 4,659,977 | 4/21/87 | Kissel et al. | 320 | 64 |
| | II | 4,913,116 | 4/3/90 | Katogi et al. | 123 | 425 |
| | IJ | 5,160,881 | 11/3/92 | Schramm et al. | 322 | 7 |
| | IK | 5,338,515 | 8/16/94 | Dalla Betta et al. | 422 | 95 |
| | IL | 5,537,967 | 7/23/96 | Tashiro et al. | 123 | 792.1 |
| | IM | 5,548,273 | 8/20/96 | Nicol et al. | 340 | 439 |
| | IN | 5,747,909 | 5/5/98 | Syverson et al. | 310 | 156 |

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|----|--------------|-----------|---------|-------|-----------|--------------------|
| SB | IO | 62-180284 | 8/7/87 | Japan | | Abstract |
| SB | IP | 7-128414 | 5/19/95 | Japan | | Abstract |

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| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|----------|------------------|-------|-----------|----------------------------|
| SB | IR 6,114,834 | 9/5/00 | Parise | 320 | 109 | |
| | IS 6,150,793 | 11/21/00 | Lesesky et al. | 320 | 104 | |
| | IT 3,876,931 | 4/8/75 | Godshalk | 324 | 29.5 | |
| | IU 5,347,163 | 9/13/94 | Yoshimura | 307 | 66 | |
| | IV 5,939,861 | 8/17/99 | Joko et al. | | | |
| | IW 5,339,018 | 8/16/94 | Brokaw | 320 | 35 | |
| | IX 5,412,323 | 5/2/95 | Kato et al. | 324 | 429 | |
| | IY 5,426,416 | 6/20/95 | Jefferies et al. | 340 | 664 | |
| | IZ 5,825,174 | 10/20/98 | Parker | 324 | 106 | |
| | JA 5,939,855 | 8/17/99 | Proctor et al. | 320 | 104 | |
| | JB 5,254,952 | 10/19/93 | Salley et al. | 324 | 429 | |
| | JC 4,217,645 | 8/12/80 | Barry et al. | 364 | 483 | |
| | JD 4,633,418 | 12/30/86 | Bishop | 364 | 554 | |
| | JE 5,321,626 | 6/14/94 | Palladino | 364 | 483 | |
| | JF 5,969,625 | 10/19/99 | Russo | 340 | 636 | |
| | JG 6,222,369 | 4/24/01 | Champlin | 324 | 430 | |
| | JH 6,225,808 | 5/1/01 | Varghese et al. | 324 | 426 | |
| | JI 6,249,124 | 6/19/01 | Bertness | 324 | 426 | |
| | JJ 6,259,254 | 7/10/01 | Klang | 324 | 427 | |
| | JK 6,262,563 | 7/17/01 | Champlin | 320 | 134 | |
| | JL 6,294,896 | 9/25/01 | Champlin | 320 | 134 | |
| | JM 6,294,897 | 9/25/01 | Champlin | 320 | 153 | |

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| | | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|----|--------------|---------|---------|-------|-----------|--------------------|
| SB | JN | 4-8636 | 1/13/92 | Japan | | | X (Abstract) |
| SB | JO | 63027776 | 2/5/88 | Japan | | | Abstract Only |

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| | Filing Date February 20, 2004 | Group Art: 2838 |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|----------|-----------------|-------|-----------|----------------------------|
| SB | JP 4,379,989 | 4/12/83 | Kurz et al. | 320 | 26 | |
| | JQ 4,680,528 | 7/14/87 | Mikami et al. | 320 | 32 | |
| | JR 5,633,985 | 5/27/97 | Severson et al. | 395 | 2.76 | |
| | JS 5,739,667 | 4/14/98 | Matsuda et al. | 320 | 5 | |
| | JT 4,024,953 | 5/1977 | Nailor, III | 206 | 344 | |
| | JU 6,250,973 | 6/2001 | Lowery et al. | 439 | 763 | |
| | JV 6,254,438 | 7/2001 | Gaunt | 439 | 755 | |
| | JW 6,304,087 | 10/16/01 | Bertness | 324 | 426 | |
| | JX 6,310,481 | 10/30/01 | Bertness | 324 | 430 | |
| | JY 6,313,607 | 11/6/01 | Champlin | 320 | 132 | |
| | JZ 6,313,608 | 11/6/01 | Varghese et al. | 32 | 132 | |
| | KA 6,316,914 | 11/13/01 | Bertness | 320 | 134 | |
| | KB 5,435,185 | 7/25/95 | Eagan | 73 | 587 | |
| | KC 5,445,026 | 8/29/95 | Eagan | 73 | 591 | |
| | KD 4,667,143 | 5/19/87 | Cooper et al. | 320 | 22 | |
| | KE 4,847,547 | 7/11/89 | Eng, Jr. et al. | 320 | 35 | |
| | KF 5,574,355 | 11/12/96 | McShane et al. | 320 | 39 | |
| SB | KG 4,663,580 | 5/5/87 | Wortman | 320 | 35 | |

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|----|----------------|---------|---------|-------|-----------|--------------------|
| SB | KH WO 99/23738 | 5/14/99 | WIPO | | | X |
| SB | KI WO 94/05069 | 3/3/94 | PCT | | | X |

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| SB | KJ | National Semiconductor Corporation, "High Q Notch Filter", 3/69, Linear Brief 5, March 1969. |
| SB | KK | Burr-Brown Corporation, "Design A 60 Hz Notch Filter with the UAF42", 1/94, AB-071, 1994. |
| SB | KL | National Semiconductor Corporation, "LMF90-4 th -Order Elliptic Notch Filter", 12/94, RRD-B20M115, December 1994. |

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| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Harvey A. Restaino et al. | |
| | Filing Date February 20, 2004 | Group Art: 2838 |

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| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|-----------|----------|------------------|-----------|----------------------------|
| SB | KM | 6,323,650 | 11/27/01 | Bertness et al. | 324 | 426 |
| | KN | 6,329,793 | 12/11/01 | Bertness et al. | 320 | 132 |
| | KO | 6,331,762 | 12/18/01 | Bertness | 320 | 134 |
| | KP | 6,332,113 | 12/18/01 | Bertness | 702 | 63 |
| | KQ | 4,297,639 | 10/27/81 | Branham | 324 | 429 |
| | KR | 5,653,659 | 8/5/97 | Kunibe et al. | 477 | 111 |
| | KS | 6,161,640 | 12/19/00 | Yamaguchi | 180 | 65.8 |
| | KT | 4,351,405 | 6/28/82 | Fields et al. | 180 | 65 |
| | KU | 6,359,441 | 3/16/02 | Bertness | 324 | 426 |
| | KV | 4,047,091 | 9/1997 | Hutchines et al. | 363 | 59 |
| | KW | 5,653,659 | 8/1997 | Kunibe et al. | 477 | 111 |
| | KX | 5,637,978 | 6/1997 | Kellett et al. | 320 | 2 |
| | KY | 5,095,223 | 3/1992 | Thomas | 307 | 110 |
| | KZ | 5,194,799 | 3/1993 | Tomantschger | 320 | 2 |
| | LA | 5,754,417 | 5/1998 | Nicollini | 363 | 60 |
| | LB | | | | | |

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| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|--------------|------|---------|-------|-----------|--------------------|
| LC | | | | | | |
| LD | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|----|----|---|
| SB | LE | "Alligator Clips with Wire Penetrators" J.S. Popper, Inc. product information, downloaded from http://www.jspopper.com/ , undated |
| SB | LF | "#12: LM78S40 Simple Switcher DC to DC Converter", ITM e-Catalog, downloaded from http://www.pcbcafe.com , undated |
| SB | LG | "Simple DC-DC Converts Allows Use of Single Battery", <u>Electronix Express</u> , downloaded from http://www.elexp.com/t_dc-dc.htm , undated |

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06/29/05

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| SPB | LH | 5,818,234 | 10/1998 | McKinnon | 324 | 433 |
| | LI | 6,140,797 | 10/2000 | Dunn | 320 | 105 |
| | LJ | 6,181,545 | 1/2001 | Amatucci et al. | 361 | 502 |
| | LK | 6,346,795 | 2/2002 | Haraguchi et al. | 320 | 136 |
| | LM | 6,347,958 | 2/2002 | Tsai | 439 | 488 |
| | LN | 6,351,102 | 2/26/02 | Troy | 320 | 139 |
| | LO | 6,359,441 | 3/19/02 | Bertness | 324 | 426 |
| | LP | 6,363,303 | 3/26/02 | Bertness | 701 | 29 |
| | LQ | 5,037,778 | 8/6/91 | Stark et al. | 437 | 216 |
| | LR | 6,144,185 | 11/7/00 | Dougherty et al. | 320 | 132 |
| | LS | 5,711,648 | 1/27/98 | Hammerslag | 414 | 786 |
| | LT | 5,927,938 | 7/27/99 | Hammerslag | 414 | 809 |
| | LU | 5,951,229 | 9/14/99 | Hammerslag | 414 | 398 |
| | LV | 5,961,561 | 10/5/99 | Wakefield, II | 701 | 29 |
| | LW | 5,961,604 | 10/5/99 | Anderson et al. | 709 | 229 |
| | LX | 6,236,332 | 5/22/01 | Conkright et al. | 340 | 825.06 |
| | LY | 6,351,102 | 2/26/02 | Troy | 320 | 139 |
| | LZ | 5,821,757 | 10/13/98 | Alvarez et al. | 324 | 434 |
| MA | MA | 6,167,349 | 12/26/00 | Alvarez | 702 | 63 |

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| | Document No. | Date | Country | Class | Sub Class | Translation Yes No |
|----|--------------|------|---------|-------|-----------|--------------------|
| MB | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|-----|----|---|
| SPB | MC | "DC-DC Converter Basics", Power Designers, downloaded from http://www.powederdesigners.com/InforWeb.design_center/articles/DC-DC/converter.shtm , undated |
| | MD | |

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| SB | ME 4,665,370 | 5/1987 | Holland | 324 | 429 | |
| | MF 4,361,809 | 11/1982 | Bil et al. | 324 | 426 | |
| | MG 6,392,414 | 5/21/02 | Bertness | 324 | 429 | |
| | MH 6,417,669 | 7/9/02 | Champlin | 324 | 426 | |
| | MI 6,424,158 | 7/23/02 | Klang | 324 | 433 | |
| | MJ 6,441,585 | 8/17/02 | Bertness | 320 | 132 | |
| | MK 6,445,158 | 9/3/02 | Bertness et al. | 320 | 104 | |
| | ML 6,384,608 | 5/7/02 | Namaky | 324 | 425 | |
| | MM 6,388,448 | 5/14/02 | Cervas | 324 | 426 | |
| | MN 6,307,349 | 10/23/01 | Koenck et al. | 320 | 112 | |
| | MO 6,411,098 | 6/25/02 | Laletin | 324 | 436 | |
| | MP 6,456,045 | 9/24/02 | Troy et al. | 320 | 139 | |
| | MQ 6,466,025 | 10/15/02 | Klang | 324 | 429 | |
| | MR 6,466,026 | 10/15/02 | Champlin | 324 | 430 | |
| | MS 4,564,798 | 1/14/86 | Young | 320 | 6 | |
| | MT 5,563,496 | 10/8/96 | McClure | 320 | 48 | |
| | MU 5,796,239 | 8/18/98 | van Phuoc et al. | 320 | 107 | |

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|----|------------------|---------|---------|-------|-----------|--------------------|
| SB | MV RU 2089015-C1 | 8/27/97 | Russia | | | Abstract Only |
| SB | MW 09061505 | 3/7/97 | Japan | | | Abstract Only |
| SB | MX 10056744 | 2/24/98 | Japan | | | Abstract Only |

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| SB | MY | "Notification of Transmittal of The International Search Report or the Declaration", PCT/US02/29461. |
| SB | MZ | "Notification of Transmittal of The International Search Report or the Declaration" PCT/US03/07546. |

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| SB | NA 4,888,716 | 12/19/89 | Ueno | 364 | 550 | |
| | NB 6,495,990 B2 | 12/17/02 | Champlin | 320 | 132 | |
| | NC 4,385,269 | 5/24/83 | Aspinwall et al. | 320 | 14 | |
| | ND 4,686,442 | 8/11/87 | Radomski | 320 | 17 | |
| | NE 6,061,638 | 5/9/00 | Joyce | 702 | 63 | |
| | NF 6,359,442 | 3/19/02 | Henningson et al. | 324 | 426 | |
| | NG 5,541,489 | 7/30/96 | Dunstan | 320 | 2 | |
| | NH 6,424,157 | 7/23/02 | Gollomp et al. | 324 | 430 | |
| | NI 6,534,993 | 3/18/03 | Bertness | 324 | 433 | |
| | NJ 6,544,078 | 4/8/03 | Palmisano et al. | 439 | 762 | |
| | NK 6,556,019 | 4/29/03 | Bertness | 324 | 426 | |
| | NL 6,566,883 | 5/20/03 | Vonderhaar et al. | 324 | 426 | |
| | NM 6,586,941 | 7/1/03 | Bertness et al. | 324 | 426 | |
| | NN 6,597,150 | 7/22/03 | Bertness et al. | 320 | 104 | |
| | NO 2002/0171428 | 11/21/02 | Bertness | 324 | 426 | |
| | NP 6,263,268 | 7/17/01 | Nathanson | 701 | 29 | |

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| SB | NQ WO 00/67359 | 11/9/00 | WIPO | | | X |
| SB | NR WO 01/51947 | 7/19/01 | WIPO | | | X |
| SB | NS WO 98/04910 | 2/5/98 | WIPO | | | X |
| SB | NT WO 00/62049 | 10/19/00 | WIPO | | | X |
| | NU | | | | | |
| | NV | | | | | |

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|----|----|--|
| SP | NW | "Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/06577. |
|----|----|--|

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| FORM PTO-1449 | Atty. Docket No.: C382.12-0146 | Serial No.: 10/783,682 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Applicant: Harvey A. Restaino et al. | |
| | Filing Date February 20, 2004 | Group Art: 2838 |

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